The Friedman Wong Group

FINANCIAL PLANNING SERVICES

STEWARD PARTNERS GLOBAL ADVISORY

***You should discuss any tax or legal matters with the appropriate professional.*

1776 I (EYE) sTREET | sUITE 700 | wASHINGTON | DC | 20006 | (O)202.930.6000 | (F)202.506.2251

CLIENT NAME: ______________________________

CLIENT AGE: ______________ **DATE:** ____________

COMMUNICATION: ☐ **Telephone** ☐ **Office Visits** ☐ **Email** ☐ **Other** ____________

FREQUENCY: ____________________ **(ex: 3 to 5 times a year, once a month, etc.)**

NEXT CONTACT DATE: ____________________________ **VIA:** ____________________

LEGAL**

- ☐ ESTATE PLANNING
- ☐ WILL/TRUST
- ☐ ACCOUNT TITLING
- ☐ BENEFICIARY REVIEW
- ☐ CONTRACT ADVICE
- ☐ BUSINESS VALUATION
- ☐ NET WORTH ANALYSIS
- ☐ SURVIVOR NEEDS
- ☐ POWERS OF ATTORNEY ADVICE

INVESTMENTS

- ☐ ASSET ALLOCATION
- ☐ PERFORMANCE REPORTING
- ☐ INVESTMENT REVIEW
- ☐ INVESTMENT POLICY STATEMENT
- ☐ RISK TOLERANCE
- ☐ 401(k) ADVICE
- ☐ SECURITY SELECTION AND MONITORING
- ☐ SMALL BUSINESS MANAGEMENT
- ☐ LARGE PURCHASE ADVICE

RISK

- ☐ CASH FLOW ANALYSIS
- ☐ MEDICARE ADVICE
- ☐ LIFE INSURANCE ADVICE
- ☐ DISABILITY INSURANCE ADVICE
- ☐ LONG TERM CARE INSURANCE ADVICE
- ☐ LENDING AND DEBT MANAGEMENT
- ☐ EMERGENCY FUNDING
- ☐ ANNUITY ADVICE

PLANNING

- ☐ GOALS AND OBJECTIVES
- ☐ RETIREMENT ACCUMULATION
- ☐ DISTRIBUTION STRATEGIES
- ☐ PENSION ADVICE
- ☐ LEGACY PLANNING
- ☐ GIFTING
- ☐ LARGE PURCHASE ADVICE
- ☐ NET WORTH STATEMENT
- ☐ RETIREMENT INCOME ANALYSIS
- ☐ SOCIAL SECURITY ADVICE
- ☐ ESTATE PLANNING
- ☐ EDUCATION FUNDING
- ☐ BUDGETING
- ☐ BUSINESS PLANNING

TAXES**

- ☐ TAX PLANNING
- ☐ WEALTH TRANSFER STRATEGIES
- ☐ TAX EFFICIENT ALLOCATIONS
- ☐ CHARITABLE GIVING
- ☐ STOCK OPTIONS
- ☐ CONCENTRATED STOCK OPTIONS